



IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

: **Mail Stop: ISSUE FEE**

Kunimasa KUSUMOTO et al.

: **Confirmation No. 1108**

Serial No. 10/009,798

: [Group Art Unit 2652

Filed March 22, 2002

: Examiner Michael V. Battaglia]

OPTICAL DISK DRIVE

**RESPONSE TO ALLOWANCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

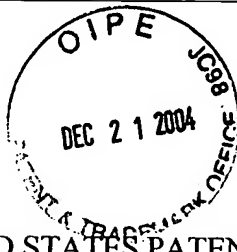
Applicants hereby request that the Information Disclosure Statement filed with the Patent Office on December 14, 2004 be considered by the Examiner. Applicants also request that the Examiner return an initialed copy of the form PTO-1449 which was filed as part of the Information Disclosure Statement of December 14, 2004. Enclosed are copies of the Information Disclosure Statement, form PTO-1449, references, and date-stamped postcard receipt as evidence of filing.

Respectfully submitted,

Kunimasa KUSUMOTO et al.

By David M. Ovedovitz  
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December 21, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 1108**  
Kunimasa KUSUMOTO et al. : Docket No. 2001\_1831A  
Serial No. 10/009,798 : Group Art Unit 2652  
Filed March 22, 2002 : Examiner Michael V. Battaglia  
OPTICAL DISK DRIVE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COPY

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the reference listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☐ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No. \_\_\_\_\_.

1a. ☐ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☒ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☒ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☒ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. ☒ a full or partial English language translation submitted herewith,
- b. ☐ a foreign patent office search report (in the English language) submitted herewith,
- c. ☐ the concise explanation contained in the specification of the present application at page,
- d. ☒ the concise explanation set forth in the attached English language abstract,
- e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:

5. ☒ A foreign patent Office Action citing one or more of the references is enclosed.

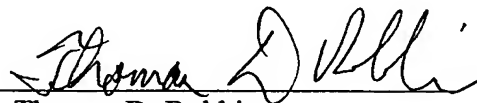
6. ☐ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Kunimasa KUSUMOTO et al.

By



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December 14, 2004

FORM PTO 1449 (modified)

ATTY DOCKET NO.  
2001\_1831ASERIAL NO.  
10/009,798

DEC 21 2004

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)APPLICANT  
Kunimasa KUSUMOTO et al.

Date Submitted to PTO: December 14, 2004

FILING DATE  
March 22, 2002GROUP  
2652

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	2000-285480	10/2000	JP			Yes	
	AK							
	AL							
	AM							
	AN							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED



**ATTY DOCKET #:** 2001\_1831A

**Due Date:** N/A

**Confirmation No.** 1108

**OUR REF:** 2001\_1831A/TDR/01489

**Applicant:** Kunimasa KUSUMOTO et al.

**Serial No.:** 10/009,798

**Filing Date:** March 22, 2002

**Title:** OPTICAL DISK DRIVE

**Receipt of the following papers is acknowledged:**

Information Disclosure Statement  
Form PTO-1449 with 1 reference



**Date:** December 14, 2004

**Attorney:** TDR/abm

**[Check No. \_\_\_\_\_]**

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ACCOUNT NO. 23-0975.